Evaluating damping elements for two-stage suspension vehicles

Evaluación de los elementos amortiguantes para vehículos con dos etapas de suspensión

Ronald M. Martinod R.¹, Germán R. Betancur G.², Leonel F. Castañeda H.³

RESUMEN

El trabajo plantea la evaluación del estado técnico de los elementos de amortiguación de un vehículo con dos (2) etapas de suspensión, por medio de modelos numéricos basados en la teoría de sistemas multicuerpo, a los cuales se aplica un conjunto de pruebas virtuales usando el método matemático de vectores propios. Se desarrolla una prueba basada en el análisis modal experimental (EMA) aplicada al sistema físico, como base para validar los modelos numéricos, y posteriormente el estudio se enfoca en la evaluación de la dinámica del vehículo para determinar la influencia del estado técnico de los amortiguadores en cada etapa de suspensión.

Palabras clave: problema de vectores propios, EMA, modelo multicuerpo, parámetros modales, sistema de suspensión, vehículo ferroviario.

ABSTRACT

The technical state of the damping elements for a vehicle having two-stage suspension was evaluated by using numerical models based on the multi-body system theory; a set of virtual tests used the eigenproblem mathematical method. A test was developed based on experimental modal analysis (EMA) applied to a physical system as the basis for validating the numerical models. The study focused on evaluating vehicle dynamics to determine the influence of the dampers’ technical state in each suspension stage.

Keywords: eigenproblem, EMA, multi-body model, modal parameters, suspension system, railway vehicle.

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Introduction

Dynamic systems’ modal properties (i.e. natural frequency \( \Omega \), damping rate \( \xi \), and modal shapes \( \Gamma \)) are usually obtained by using experimental modal analysis (EMA)-based techniques. EMA techniques have been widely documented (Ewins, 2000; He and Fu, 2001; Genta, 2009); EMA is applied to tests based on measuring dynamic system excitation and response according to classical modal analysis theory (Hanson, Randall, Antoni and et al., 2007).

A variation of EMA is the modelling of dynamic systems from mathematical equations describing a particular system’s physical aspects. A virtual model’s general approach is to numerically integrate the ordinary differential equation constituting the model by using integration algorithms; such approach is usually called simulation or virtual testing (Polach, Berg and Iwnicky, 2006; Genta, 2009).

Numerical simulation enables different types of analysis. The present work focused on developing modal analysis for dynamic systems using the eigenproblem method; this is often used in modal analysis (He and Fu, 2001), as shown below.

Consider a squared matrix \([A]\) of real numbers having size \( n \times n \), eigenvalues \( \lambda_i \), and corresponding eigenvectors \( \phi_i \), \( r = 1, 2, \ldots, n \); the \( \phi_i \) family consists of independent vectors. The matrix of \( \lambda_i \) can be expressed in the form \([A] = \text{diag}([\lambda_1, \lambda_2, \ldots, \lambda_n])\), and the matrix of \( \phi_i \), as \([\Psi] = \text{diag}([\phi_1, \phi_2, \ldots, \phi_n])\). The decomposition of eigenvectors produces (He and Fu, 2001)

\[
[A] = [\Psi] [A] [\Psi]^{-1}
\]  

(1)

Equation (1) shows that \([A]\) may be expressed as a diagonal matrix in the form \([A] = [\Psi]^{-1} [A] [\Psi]\). For all range \([A]\), the only solution satisfying that \(\lambda_i\) and its corresponding non-null \(\phi_i\) exist is when:

\[
([A] - \lambda_i [I]) \phi_i = 0.
\]  

(2)

This determinant can be expanded, obtaining a polynomial \( n \) for \( \lambda_i \). The polynomial’s roots are \( \lambda_i \), of \( [A] \). Thus, if equation (2) is valid, then \([A]\) must have \( n \) eigenvalues not necessarily different or different to zero (He and Fu, 2001).

Given that matrix system \([A]\) (see equation 1) represents a dynamic system \( (\{M\}^{-1} [K]) \), having inertia matrix \([M]\) and stiffness matrix \([K]\), then \(\sqrt{K}\) is equivalent to \( \Omega \), and \(\phi_i\) is known as representation \( \Gamma \) of such system. \( \lambda_i = 0 \) indicates that the vibration mode is a rigid body fixed to the ground. If \( \lambda_i = \lambda_j \), this means that there are identical modal shapes, a phenomenon that occurs frequently in symmetrical structures (He and Fu, 2001).

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For a system having multiple degrees of freedom (DoF), the problem of own values derived from the differential movement equation is expressed as:

\[ [K] \{ \phi \} = \lambda [M] \{ \phi \}, \]

i.e. the general expression of the eigenproblem. Given that \([M]\) is a defined positive matrix, then it can be decomposed using square root decomposition, \([M] = [L][L]^T\), as \(([L]^{-1} [K] [L]^{-T}) ([L]^T \{ \phi \}) = \lambda ([L]^T \{ \phi \})\). The general expression of the problem, using terms \([M]\) and \([K]\) from equation (3), becomes a normalised problem for \(([L]^{-1} [K] [L]^{-T})\).

**Description of the object of study**

The study concerned the Colombian city of Medellín’s mass transportation passenger carriage fleet. The railway system has a fleet of 42 three-car units (see Figure 1). Each car has two bogies with two axle-sets; each bogie has two-stage suspension: primary and secondary.

The primary suspension stage consists of inner and outer helicoidal springs, parallel to which there is a vertical hydraulic damper and a set of two blade-guides in each axle box (Figure 2a). The blade-guides provide axle box guidance.

The air springs form part of the secondary suspension stage (Figure 2b), their aim being to keep the height of the car body stable regardless of variations in passengers load. Each air spring is mounted on an auxiliary spring that works in case of the first one’s failure. Vertical damping is associated with a hydraulic damper attached to each air spring.

**Dynamic characterisation of the vehicle by applying the EMA method**

EMA has been used (Buczaj, Walusiak and Pietrzyk, 2007) to determine the behaviour of a vehicle in given operating conditions. Vehicle excitation has been achieved by manual input directly applied to a car body; this consists of simultaneously lifting the corners of a car body by a group of people per corner. Force is applied to the underframe of the car body’s side sill or end sill; this concerns applying a rhythmic input force to bring the car to modal shape \(\Gamma_i\). Such excitation is created by disconnecting the vehicle’s suspension damping elements (removing the primary and secondary suspension’s vertical dampers), allowing a car body to be freely excited (Castañeda and Zółtowski, 2009). The operating condition of the system in the analysis was thus equivalent to null-damping characteristic, \(\epsilon_0\).

To better capture transducer (accelerometer) response, they are located at the far ends of a car, collinear to a car body’s longitudinal plane. A minimum 50Hz sample frequency has been established, including an anti-aliasing filter setting at twice sampling frequency (Gillespie, 2004). The signals recorded as dataset were transformed to the frequency domain by fast Fourier transform (FFT) algorithm to obtain a car body’s characteristic frequencies, thereby ascertaining the corresponding modal vibration shape (Castañeda and Zółtowski, 2009; De Silva, 2007). Table 1 shows the EMA results.

<table>
<thead>
<tr>
<th>Modal shape, (\Gamma_i)</th>
<th>Lower roll, (\Gamma_i)</th>
<th>Bounce, (\Gamma_i)</th>
<th>Yaw, (\Gamma_i)</th>
<th>Upper roll, (\Gamma_i)</th>
<th>Pitch, (\Gamma_i)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Natural frequency, (\Omega) [Hz]</td>
<td>0.80</td>
<td>1.47</td>
<td>1.68</td>
<td>1.80</td>
<td>2.10</td>
</tr>
</tbody>
</table>

**Vertical damping elements for two-stage suspension**

Hydraulic dampers made by Sachs Bogie (nowadays ZF Sachs) provided a vehicle’s vertical damping, consisting of:

(i) Primary suspension stage: eight 1-0280-50-865-0 dampers, each adjacent to an axle box; and

(ii) Secondary suspension stage: four 1-0280-50-394-1 dampers, each adjacent to a pneumatic spring.

The present work studied a vehicle’s dynamic response to determine the influence of the dampers’ technical state on each suspension stage. The dampers were characterised by a set of physical laboratory tests; such characterisation of the elements was called nominal damping function, \(\epsilon_{0i}\). A set of four hypothetical functions were established from \(\epsilon_{0i}\), representing different technical states regarding damper \(\epsilon_i\), \(i = 2,4,6,8\).
Hypothetical function $\varepsilon_i$ had similar behaviour to $\varepsilon_{30}$ but was weighted by a coefficient reducing the damping property of element, $\varepsilon_i = \frac{1}{10} \varepsilon_{30}$. It was thus possible to represent the component’s technical states through progressive degradation of the damping function (Martinod et al., 2012-1) (see Figure 3).

![Figure 3. Vertical damper: (a) primary stage; and (b) secondary stage.](image)

**Development of numeric models**

The virtual techniques allow the model to generate information relative to the dynamic behavior, the interaction of the components, and details that are only comparable with physical prototypes (Genta, 2009).

A railway vehicle model can be developed and run on a typical track and instrumented in a virtual environment (Polach, Berg and Hrvický, 2006; Castañeda and Żółtowski, 2009). Numerical experiments are a valid source for the necessary data to test the formulated methodologies (Uhl, 2006). These experiments simulate the response of the system from the numeric models of multi-body systems. Recent advances in the field of computational mechanics and numeric methods are directly applied to the analysis of the non-linear mechanics of dynamic systems (Shabana, Zaazaa and Sugiyama, 2008; Castañeda and Żółtowski, 2009).

It is important to take into consideration the reach of the investigation at each stage of the work. In early stages, when most of the data is not available, it is not useful to use complex models given that they would be composed of parameters that must be to a certain degree arbitrarily estimated (Genta, 2009). The development of complex computational models is necessary for the detailed study of the behavior of mechanical systems. It is in this way that the work is developed in three (3) modeling stages of the object of study: (i) synthesized model; (ii) simplified model; and (iii) complete model.

Each numeric model presents an even more elevated level of complexity in comparison with the model developed in the previous stage; see Table 2. The values for the particular parameters of each model are presented in Appendix A. Each model stage is described as follows:

1.1. **Synthesized model**

The synthesized is appropriate for a preliminary analysis; it allows directly understanding the effects of the relevant variations of the parameters and it reduces the number of tests required. Furthermore, the synthesized model requires a relatively low computing power (Genta, 2009). The synthesized model developed is an abstraction of ½ of the motor car, see Figure 4(a), which represents the dynamic behavior of the object of study (Gillespie and Karamhas, 2000).

<table>
<thead>
<tr>
<th>Numerical model</th>
<th>Number of elements</th>
<th>Dof</th>
</tr>
</thead>
<tbody>
<tr>
<td>Synthesized</td>
<td>4</td>
<td>32</td>
</tr>
<tr>
<td>Simplified</td>
<td>9</td>
<td>63</td>
</tr>
<tr>
<td>Complete</td>
<td>15</td>
<td>120</td>
</tr>
</tbody>
</table>

**Simplified model**

New characteristics must be added to the synthesized model because the physical parameters of the system are gradually defined, obtaining a model with a higher complexity degree. In later stages of the work the need of building a numeric model with greater complexity degree becomes evident (Genta, 2009). The simplified model starts from the synthesized model of the vehicle; it establishes the association of the two synthesized models (aforementioned exposed), the simplified model is constructed by joining two synthetic models, see Figure 4(b), and it describes the system by representing ½ motor car.

**Complete model**

It can be considered as a true virtual prototype. Virtual techniques allow the model to generate a lot of information regarding not only the response and the dynamic behavior, but also on the interac-
tion between components, capacity details (Genta, 2009; Martinod and et al. 2010). The complex model is developed through the association of the two simplified models (aforementioned exposed) that represent a complete motor car; see Figure 4(c).

It is necessary to point out the guidelines for the correct development of the numeric models. The coherent design of the numerical models allows a correct analysis of the data and it must be designed to evaluate the primary and secondary suspensions under controlled conditions, without affecting the operation and the security of the system. The numerical models are defined following these conditions (Martinod and et al., 2012-2); (i) the load condition (AW0), meaning that the car is empty; (ii) the section track is a straight track and the track irregularities are considered; (iii) the vehicle speed, \( V = 80 \text{ km/h} \); and (iv) a variation of the primary and secondary suspensions dampers technical state is assumed \( \xi \) (see Figure 3), then, the representation of the different technical states of the dampers through a progressive degradation of the damping function is getting to build the numeric damper model.

The numeric damper model considers the non-linear characteristic (which can be represented by a piecewise linearized force/velocity characteristic) and the stiffness effect of the bushing acting in series with the damper end (see Appendix B). The numeric damper model includes the series stiffness: at low frequencies the series stiffness is effectively rigid, and the behaviour is that of a pure damper; at high frequencies, the damper effectively locks up and the behaviour is that of a pure stiffness.

Validation of the numeric models

A virtual test is performed under the eigenproblem mathematical approach for each of the three defined models. Numeric simulation is done in an operating condition equivalent to the established in the EMA test, meaning that the system has a null-damping capacity, \( \epsilon_{o} \).

Table 3 shows the results obtained through numeric simulation for each model. It can be observed that the synthetic and simplified numeric models are limited regarding the reach of the analysis given that it is not possible to obtain response from the modal shapes \( \Gamma \), with \( j=3,5 \), which are necessary for the stated analysis.

The values of the modal parameter \( \Omega \) obtained through EMA and exposed in Table 1 represent the reference values of the dynamic system. In consequence, it is possible to directly quantify each estimation error \( \varepsilon \), for the different models from the existing deviation of the values obtained by the eigenproblem approach in relation to the values obtained by the EMA approach of reference; see Table 3.

From the error values obtained \( \varepsilon < 2.4\% \), it is possible to assume that the analysis with numeric simulation has appropriate deviation values \( \varepsilon \) for the reach of the study.

Numeric evaluation of damping elements

It can be observed that the synthesized and simplified numeric models have acceptable error estimation \( \varepsilon \) values, however these models do not provide sufficient information about the dynamic behavior of the vehicle because they are limited in the dynamic responses of modal shapes \( \Gamma \), and \( \Gamma \). Therefore, the virtual tests are done to the complete numeric model, using the analysis software VAMPIRE to solve the eigenproblem mathematical approach.

Table 3. Results of the eigenproblem method, null-damping condition, \( \epsilon_{o} \).

<table>
<thead>
<tr>
<th>Numeric model</th>
<th>Natural frequency of the modal shape ( \Gamma_{i} ) [Hz]</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>( \Gamma_{1} ) ( \Gamma_{2} ) ( \Gamma_{3} ) ( \Gamma_{4} ) ( \Gamma_{5} )</td>
</tr>
<tr>
<td>Synthesized</td>
<td>0.784 1.463 -- -- 1.828 --</td>
</tr>
<tr>
<td>Simplified</td>
<td>0.795 1.471 -- -- 1.842 --</td>
</tr>
<tr>
<td>Complete</td>
<td>0.795 1.471 1.676 1.842 2.086</td>
</tr>
</tbody>
</table>

Table 4. Estimation error \( \varepsilon \), for the different models.

<table>
<thead>
<tr>
<th>Numeric model</th>
<th>Modal shape error ( \Gamma_{i} ) [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>( \Gamma_{1} ) ( \Gamma_{2} ) ( \Gamma_{3} ) ( \Gamma_{4} ) ( \Gamma_{5} )</td>
</tr>
<tr>
<td>Synthesized</td>
<td>2.00 0.48 -- -- 1.56 --</td>
</tr>
<tr>
<td>Simplified</td>
<td>0.63 0.07 -- -- 2.33 --</td>
</tr>
<tr>
<td>Complete</td>
<td>0.63 0.07 0.42 2.33 0.67</td>
</tr>
</tbody>
</table>

The criteria for the correct performance of the virtual tests must be stated given that the coherent design of the tests allows a correct analysis of the data. The tests are defined in relation to the evaluation separately from the two (2) suspension stages, this is:

(i) Evaluation of the primary suspension stage: consists on a set of numerical simulations, each done with a different damping function of the primary suspension. This means that each simulation evaluates the behavior of the vehicle in a technical state \( \xi \) of the primary suspension; see Figure 3(a). The dampers belonging to the secondary suspension stage conserve their nominal property \( \epsilon_{o} \).

(ii) Evaluation of the secondary suspension stage: consists of a set of simulations, each of which is done with a different damping function for the secondary suspension...
dampers, corresponding to a technical state \( \varepsilon \), of such suspension; see Figure 3(b). The dampers belonging to the primary suspension stage conserve their nominal property \( \varepsilon_{10} \).

**Results of the numeric simulations**

**Primary suspension stage**

It can be observed (see Figure 5) that the different technical states \( \varepsilon \) of the primary suspension vertical dampers do not exert influence on the natural frequency \( \Omega \) of the car body of the vehicle (forced condition); therefore the parameter \( \Omega \) presents constant values for each modal shape \( \Gamma_i \).

**Secondary suspension stage**

The values of the vehicle natural frequency are graphically presented (see Figure 6), from which it is possible to obtain the following characteristic relations:

(i) There is a distinguishable influence of \( \varepsilon \) respect to \( \Omega \) for the modal shapes in vertical direction, \( \Gamma_2 \) and \( \Gamma_5 \). The roll modal shapes, \( \Gamma_1 \) and \( \Gamma_4 \), have poor sensibility to \( \varepsilon \); and the modal shape in transversal direction \( \Gamma_3 \) is independent to the state of the damper \( \varepsilon \).

(ii) As the damping property of the component diminishes \( \varepsilon_{10} \rightarrow \varepsilon_0 \), the natural frequency \( \Omega \) declines, obtaining a directly proportional relation.

(iii) The modal shape \( \Gamma_2 \) shows two particular characteristics: a distinguishable variation of the frequency respect to \( \varepsilon_2 \), and a very high independence degree of the frequency in relation to the vehicle speed. These two characteristics allow to state that the bounce \( \Gamma_2 \) modal shape is appropriate to perform an operating modal analysis with the objective of finding the technical state of the secondary vertical damper effectively.

**Conclusions**

The primary suspension stage vertical dampers have total independence respect to the natural frequency of the vehicle vibration \( \Omega \), therefore the technical state \( \varepsilon \) of these dampers does not influence the dynamic behavior experienced by the passengers or driver, this is that they are not sensible to the degradation or failure of the dampers in the primary suspension stage.

The vertical dampers of the secondary suspension stage show a direct influence on the dynamic behavior of the modal shapes \( \Gamma_2 \) and \( \Gamma_5 \), therefore the technical state of the damper \( \varepsilon \) can be tested.
and estimated through dynamic recording of dataset from measurements in the car body. This means that from the sensors installed in the car body that register the natural frequency $\Omega$ appropriately, it is possible to determine the variation of the damping function of the secondary suspension vertical dampers, and in this way infer their degradation or failure.

International standards for railway define the range for deficient frequency $\omega = [8,10]$Hz. The human body is sensible to vertical accelerations (Mitschke, 1983; ISO 2631-1, 1997; Mitschke and Frederich, 1999) see Appendix C; frequencies $\omega = 10$Hz cause excessive oscillations on $\Gamma_i$, generating significant loss of comfort (Colin, 2006; Castañeda and Zółkowski, 2009). Comparing the values obtained for the analyzed vibration modes $\Gamma_i$, and under the different technical states of the damper $\epsilon_i$, the natural frequency is $\Omega < 2Hz$. Therefore, the degradation of the damping function for suspension elements does not incur per se in violation to the railway standards.

References


Nomenclature

*$A_0$ Degrees of Freedom.

*$EMA* Experimental Modal Analysis.

*$FFT* Fast Fourier Transformation.

*$\Lambda*$ Matrix system.

*$K*$ Stiffness matrix.

*$L*$ Square root matrix.

*$M*$ Inertia matrix.

*$\Lambda_\ell*$ Matrix of $\lambda_\ell$.

*$\Psi_\ell*$ Matrix of $\phi_\ell$.

*$\Phi_\ell*$ $\ell$th eigenvector.

*$\Gamma_\ell*$ $\ell$th modal shape.

*$\Omega*$ Natural frequency.

*$\epsilon_\ell*$ $\ell$th error value.

*$\epsilon_t*$ $\ell$th damper technical state.

*$\lambda_\ell*$ $\ell$th eigenvalue.

*$\omega*$ Oscillation frequency.

*$\xi*$ Damping rate.
Appendix A. Vehicle model parameters of the numeric models.

<table>
<thead>
<tr>
<th>Component</th>
<th>Quantity of elements</th>
<th>Value</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carbody</td>
<td>$\frac{1}{4}$ $\frac{1}{2}$ 1</td>
<td>24486.36</td>
<td>kg</td>
</tr>
<tr>
<td>Bogie frame</td>
<td>$\frac{1}{2}$ 1 2</td>
<td>3051.22</td>
<td>kg</td>
</tr>
<tr>
<td>Motor</td>
<td>1 2 4</td>
<td>1387.75</td>
<td>kg</td>
</tr>
<tr>
<td>Electromagnetic brake</td>
<td>0 2 4</td>
<td>176.00</td>
<td>kg</td>
</tr>
<tr>
<td>Axle-wheel set</td>
<td>1 2 4</td>
<td>1770.88</td>
<td>kg</td>
</tr>
<tr>
<td>Stiffness</td>
<td>Linear 1 1 1</td>
<td>kA = 1.00</td>
<td>kN/mm</td>
</tr>
<tr>
<td>Nonlinear</td>
<td>2 10 20</td>
<td>kB = 5E-3</td>
<td>kN/mm</td>
</tr>
<tr>
<td>Shear</td>
<td>2 4 8</td>
<td>kx = 0.27 ky = 0.27 kz = 1.52</td>
<td>kN/mm</td>
</tr>
<tr>
<td>Axi-directional</td>
<td>1 1 2</td>
<td>0.04</td>
<td>kN/mm/s</td>
</tr>
<tr>
<td>Air stiffness</td>
<td>2 2 4</td>
<td>kz = 1.13 ky = 0.26</td>
<td>kN/mm</td>
</tr>
<tr>
<td>Damper</td>
<td>5 7 13</td>
<td>cy = 3E-3</td>
<td>kN/mm/s</td>
</tr>
<tr>
<td>Bushing</td>
<td>4 8 36</td>
<td>kx = 1.87 ky = 2.39 kz = 0.06</td>
<td>kN/mm</td>
</tr>
<tr>
<td>DoF</td>
<td>32 63 120</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Appendix B. Numeric damper model performance.

Numeric damper model fitting according to the nominal damping function from physical laboratory tests: (a) Primary vertical damper, frequency excitation 0.2Hz; (b) Primary vertical damper, frequency excitation 1.5Hz; (c) secondary vertical damper, frequency excitation 0.2Hz; and (d) secondary vertical damper, frequency excitation 1.5Hz.

Appendix C. Passenger comfort criteria.

All types of transport have different on motion characteristics. For railway systems, the transversal oscillation, $\Gamma_3$, is as important as the vertical, $\Gamma_2$, and they can be analyzed separately because they constitute independent oscillatory behaviors; the same independence exists between $\Gamma_2$ and the swing behavior, $\Gamma_1$. The opposite occurs between $\Gamma_3$ and $\Gamma_1$, which must be considered jointly because they have dependence elements. The unfavorable conditions that go in detriment of passenger comfort are defined according to the criteria of $\xi$ and $\omega$:

(i) unsatisfactory limit: $\xi_{lim} = 5\%$, value $\xi \leq 5\%$ is perceived as unsatisfactory by the passengers, value $\xi > 5\%$ determines a positive perception of the passengers;

(ii) deficient frequency range; $\omega_0 = 8,10\,\text{Hz}$, the human body is sensible to vertical accelerations in this range. Frequencies $\omega > 10\,\text{Hz}$, generate excessive oscillations in $\Gamma_2$, causing significant comfort deficiency;

(iii) oscillation limit of $\Gamma_1$: $\omega_0 = 0.5\,\text{Hz}$, the vehicle must have a value superior to 0.5 Hz, otherwise there’s the risk of producing a movement that causes nausea to the passengers;

(iv) low damping, or even more, instability of the system; and resonance of the components of the vehicle with a periodic excitation.